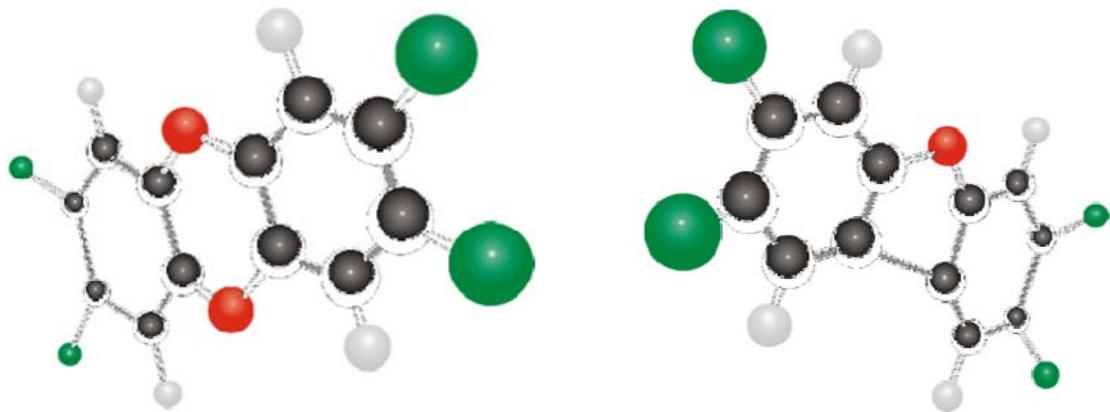




Toolkit



**for Identification and Quantification
of Releases of Dioxins, Furans and
Other Unintentional POPs**

under Article 5 of the Stockholm Convention

January 2013

This publication is intended to assist countries to establish release inventories of polychlorinated dibenzo-*p*-dioxins and dibenzofurans at a national or regional level. The information contained in this report comes from published scientific literature, government reports, Internet sources, and through personal communication. The designations employed and the presentations in this document do not imply any expression of opinion on behalf of UNEP or contributory organizations. UNEP or contributory organizations cannot be liable for misuse of the information contained in this publication.

PREFACE

One of the major goals of the Stockholm Convention on Persistent Organic Pollutants (POPs) is the continuing minimization and, where feasible, ultimate elimination of unintentionally produced POPs. Parties are required to identify, characterize, quantify and prioritize sources of releases of unintentionally produced POPs, and develop strategies with concrete measures, timelines and goals to minimize or eliminate these releases.

To support Parties in meeting these obligations, a methodology has been developed to ensure that source inventories and release estimates are complete, transparent, as well as consistent in format and content. It allows Parties to compare results, identify priorities, mark progress and follow changes over time at the national, regional and global levels.

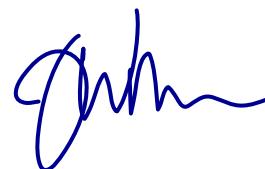
The Standardized Toolkit for Identification and Quantification of Dioxin and Furan Releases was first published in 2003 and revised in 2005. In 2006, the Conference of the Parties to the Stockholm Convention welcomed the second Toolkit edition and recognized its usefulness. At the same time, Parties acknowledged the need for its ongoing revision and updating, placing emphasis on key sources for which limited data were available and on providing support to developing countries in their efforts to verify their emission factors. Parties also requested overall improvement of the usefulness and user friendliness of the Toolkit.

The revision process was open and inclusive, involving experts nominated by Parties as well as by nongovernmental organizations and industry associations, and in cooperation with UNEP Chemicals.

The Toolkit is the most comprehensive available compilation of emission factors for all relevant PCDD/PCDF sources. It is useful particularly in countries where measurement data are limited, enabling the elaboration of source inventories and release estimates by using the default emission factors. It is also useful in countries where national measurement data are available, as a reference document for data comparison and validation purposes.

The Conference of the Parties at its fifth meeting in 2011 welcomed these revisions and updates, and Parties were encouraged to use the additional guidance.

This edition of the Toolkit contains all new information, as well as model inventories illustrating relevant processes. In addition, the entire Toolkit is now available in an interactive electronic version, with information structured according to the level of technical detail. With these improvements, we hope the Parties will find the new Toolkit edition more useful and user friendly than ever.



Jim Willis
Executive Secretary

ACKNOWLEDGEMENTS

The Toolkit revision and update has entirely relied on support from Parties and other donors, which took a variety of forms such as the work of nominated national experts, funding of international programs and national projects, in-kind contribution of expert institutions, as well as direct donor contributions through the Stockholm Convention Voluntary Trust Fund.

All experts nominated by parties and others, included in the Toolkit Expert Roster, were involved in the Toolkit review and updating process at least by electronic means. The following experts are gratefully acknowledged for their substantial contribution to the development of this document.

Authors: Mr. Emmanuel Fiani, Agency for Environment and Energy Management, France (Chapter II.2), Ms. Ute Karl, European Institute for Energy Research, Germany (Chapter II.3), Mr. Gunther Umlauf, Joint Research Centre, European Commission (Chapter II.4), Mr. João Vicente De Assunção, University of São Paulo, Brazil (Chapter II.5), Mr. Sergey Kakareka, Institute for Nature Management, Belarus (Chapters II.5 and II.10), Ms. Heidalore Fiedler, UNEP DTIE Chemicals Branch (Chapter II.6), Ms. Pat Costner, International POPs Elimination Network (Chapters II.7 and II.8), and Mr. Roland Weber, POPs Environmental Consulting, Germany (Chapters II.9 and II.10).

Contributors: Mr. Youssef Bennouna (Etudes et Mesures les Cinq Domaines, Morocco), Mr. Hindrik Bowman (North-West University, South Africa), Ms. Beatriz Cárdenas González (National Center for Environmental Research, Mexico), Mr. William F. Carroll (International Council of Chemical Associations), Mr. Nee Sun Choong Kwet Yive (University of Mauritius, Mauritius), Ms. Verónica Gonzalvez Reyes (Ministry of Housing, Land Use and Environment, Uruguay), Mr. Adam Grochowalski (Krakow University of Technology, Poland), Ms. Stina Jansson (Umeå University, Sweden), Mr. Stellan Marklund (Umeå University, Sweden), Mr. Mick Meyer (Commonwealth Scientific and Industrial Research Organisation, Australia), Mr. Charles Mirikau (University of Nairobi, Kenya), Ms. Chalongkwan Tangbanluekal (Mahidol University, Thailand), Mr. Gerhard Thanner (Environment Agency, Austria), Mr. Minghui Zheng (Research Center for Eco-Environmental Sciences, China).

Reviewers: Mr. Bruce Graham (Graham Environmental Consulting Ltd, New Zealand), Mr. Yasuhiro Hirai (Kyoto University, Japan), Ms. Jargalsaikhan Lkhasuren (Ministry of Nature, Environment and Tourism, Mongolia), Mr. Phet Pichhara (Ministry of Environment, Cambodia).

Translation of Excel files: Arabic and French - Mr. Youssef Bennouna (Etudes et Mesures les Cinq Domaines, Morocco); Chinese - Mr. Minghui Zheng (Research Center for Eco-Environmental Sciences, China); Russian - Mr. Sergey Kakareka (Institute for Nature Management, Belarus); Spanish - Ms. Verónica Gonzalvez Reyes (Ministry of Housing, Land Use and Environment, Uruguay).

Input into the Toolkit revision has also been received via projects implemented thanks to donor support and in-kind contributions such as the project on brick kilns led by the European Commission's Joint Research Centre, projects to determine emission factors for open burning of biomass and waste funded by Sweden, the World Chlorine Council and other donors, as well as through national projects such as those dedicated to household heating and cooking led by Germany, metallurgy sector led by France, Japan and China, and evaluation of simple stoves led by Mexico. Funding from the European Commission allowed the implementation of the Toolkit revision process, through organization of annual expert meetings.

The support from the Secretariat of the Basel, Rotterdam and Stockholm Conventions, and contribution from UNEP DTIE Chemicals Branch for the production of the initial draft is also gratefully acknowledged.

Table of Contents

PREFACE	3
ACKNOWLEDGEMENTS	4
Table of Contents	5
Abbreviations and Acronyms	9
PART I GENERAL GUIDANCE	15
Chapter 1 Introduction and Overview	15
1.1 Chemicals Listed in Annex C	15
1.2 Purpose	16
1.3 Structure and Use of the Toolkit	17
1.4 POPs Releases from Sources	19
1.5 Limitations	22
Chapter 2 Identifying Sources and Estimating Releases of PCDD/PCDF	23
2.1 Identifying Sources	23
2.2 Emission Factors	25
2.3 Activity Rates	26
2.4 Release Estimates	27
2.5 Compilation of PCDD/PCDF Inventory	27
Chapter 3 Reporting of Releases	28
3.1 Categorization of Sources	28
3.2 Baseline Release Estimates, Updating, Revisions, and Projections	28
Chapter 4 Data Quality	32
4.1 Quality Assurance and Quality Control (QA/QC)	32
4.2 Data quality	33
PART II DEFAULT EMISSION FACTORS	35
1 – Waste Incineration	36
1a Municipal Solid Waste Incinerators	36
1b Hazardous Waste Incinerators	38
1c Medical Waste Incinerators	40
1d Light-Fraction Shredder Waste Incinerators	42
1e Sewage Sludge Incinerators	43
1f Waste Wood and Waste Biomass Incinerators	44
1g Destruction of Animal Carcasses	46
2 – Ferrous and Non-Ferrous Metal Production	48
2a Iron Ore Sintering	49
2b Coke Production	51
2c Iron and Steel Production, Foundries and Hot-Dip Galvanizing Plants	52
2d Copper Production	55
2e Aluminum Production	57
2f Lead Production	58
2g Zinc Production	60
2h Brass and Bronze Production	61
2i Magnesium Production	62
2j Other Non-ferrous Metal Production	64
2k Shredders	65
2l Thermal Wire Reclamation and e-waste recycling	66
3 – Power Generation and Heating	68

3a Fossil Fuel Power Plants	69
3b Biomass Power Plants	72
3c Landfill Biogas Combustion	73
3d Household Heating and Cooking with Biomass	74
3e Household Heating and Cooking with Fossil Fuels	76
4 – Mineral Products	79
4a Cement Production	79
4b Lime Production	80
4c Brick Production	82
4d Glass Production	84
4e Ceramics Production	84
4f Asphalt Mixing	85
4g Oil Shale Processing	85
5 – Transport	87
5a 4-Stroke Engines	88
5b 2-Stroke Engines	89
5c Diesel Engines	90
5d Heavy Oil Fired Engines	92
6 – Open Burning Processes	93
6a Biomass Burning	94
6b Open Burning of Waste and Accidental Fires	98
7 – Production and Use of Chemicals and Consumer Goods	101
7a Pulp and Paper Production	103
7b Chlorinated Inorganic Chemicals	105
7c Chlorinated Aliphatic Chemicals	107
7d Chlorinated Aromatic Chemicals	110
7e Other Chlorinated and Non-Chlorinated Chemicals	119
7f Petroleum Refining	120
7g Textile Production	122
7h Leather refining	123
8 – Miscellaneous	125
8a Drying of Biomass	125
8b Crematoria	126
8c Smoke Houses	127
8d Dry Cleaning	128
8e Tobacco Smoking	129
9 – Disposal / Landfill	130
9a Landfills, Waste Dumps and Landfill Mining	130
9b Sewage and Sewage Treatment	132
9c Open Water Dumping	134
9d Composting	135
9e Waste Oil Treatment (Non-thermal)	136
10 – Contaminated Sites and Hotspots	137
10a Production Sites of Chlorine	140
10b Production Sites of Chlorinated Organics	141
10c Application Sites of PCDD/PCDF Containing Pesticides and Chemicals	144
10d Timber Manufacture and Treatment Sites	144
10e Textile and Leather Factories	145

10f	Use of PCB.....	145
10g	Use of Chlorine for Production of Metals and Inorganic Chemicals.....	147
10h	Waste Incinerators.....	148
10i	Metal Industries	148
10j	Fire Accidents.....	148
10k	Dredging of Sediments and Contaminated Flood Plains	149
10l	Dumps of Wastes/Residues from Source Groups 1-9.....	149
10m	Kaolin or Ball Clay Sites	149
Annex 1	Toxicity Equivalency Factors	151
Annex 2	Guidance on Identifying Sources of PCDD/PCDF	153
Annex 3	Questionnaires.....	161
Annex 4	Compilation of all emission factors.....	176
Annex 5	Reporting under the Article 15 of the Stockholm Convention	196
Annex 6	Usage of units in air emissions.....	201
Annex 7	Per capita/GDP emissions	202
Annex 8	Data quality	209
Annex 9	Complementary information to source category 1a Municipal Solid Waste Incineration ...	212
Annex 10	Complementary information to source category 1b Hazardous Waste Incineration.....	214
Annex 11	Complementary information to source category 1c Medical Waste Incineration	216
Annex 12	Complementary information to source category 1d Light Fraction Shredder Waste Incineration	219
Annex 13	Complementary information to source category 1e Sewage Sludge Incineration	221
Annex 14	Complementary information to source category 1f Waste Wood and Waste Biomass Incineration	223
Annex 15	Complementary information to source category 1g Destruction of Animal Carcasses.....	225
Annex 16	Complementary information to source category 2a Iron Ore Sintering.....	226
Annex 17	Complementary information to source category 2b Coke Production	229
Annex 18	Complementary information to source category 2c Iron and Steel Production and Foundries.....	231
Annex 19	Complementary information to source category 2d Copper Production.....	237
Annex 20	Complementary information to source category 2e Aluminum Production.....	242
Annex 21	Complementary information to source category 2f Lead Production.....	245
Annex 22	Complementary information to source category 2g Zinc Production	248
Annex 23	Complementary information to source category 2h Brass and Bronze Production.....	251
Annex 24	Complementary information to source category 2i Magnesium Production.....	253
Annex 25	Complementary information to source category 2j Other Non-ferrous Metal Production .	256
Annex 26	Complementary information to source category 2k Shredders	257
Annex 27	Complementary information to source category 2l Thermal Wire Reclamation and E-waste Recycling.....	259
Annex 28	Heating Values and Biomass Ash Contents.....	261
Annex 29	Conversion Factors for Liquid and Gaseous Fuels	265
Annex 30	Complementary information to source category 3a Fossil Fuel Power Plants	266
Annex 31	Complementary information to source category 3b Biomass Power Plants	268
Annex 32	Complementary information to source category 3c Landfill Biogas Combustion	270
Annex 33	Complementary information to source category 3d Household Heating and Cooking with Biomass.....	271
Annex 34	Complementary information to source category 3e Household Heating and Cooking with Fossil Fuels.....	274

Annex 35	Complementary information to source category 4a Cement Production	276
Annex 36	Complementary information to source category 4b Lime Production.....	278
Annex 37	Complementary information to source category 4c Brick Production.....	280
Annex 38	Complementary information to source category 4d Glass Production	283
Annex 39	Complementary information to source category 4f Asphalt Mixing	284
Annex 40	Complementary information to source category 4g Oil Shale Processing	285
Annex 41	Complementary information to source category 5a 4-Stroke Engines	286
Annex 42	Complementary information to source category 5b 2-Stroke Engines	287
Annex 43	Complementary information to source category 5c Diesel Engines.....	288
Annex 44	Complementary information to source category 5d Heavy Oil Fired Engines	290
Annex 45	Complementary information to source category 6a Biomass Burning.....	292
Annex 46	Complementary information to source category 6b Waste Burning and Accidental Fires ..	295
Annex 47	Complementary information to source category 7a Pulp and Paper Production	301
Annex 48	Complementary information to source categories 7b through 7e – Production and Use of Chemicals.....	307
Annex 49	Complementary information to source category 7f Petroleum Industry.....	329
Annex 50	Complementary information to source category 7gTextile Production.....	331
Annex 51	Complementary information to source category 7h Leather Refining	333
Annex 52	Complementary information to source group 8 Miscellaneous.....	335
Annex 53	Complementary information to source group 9 Disposal / Landfill	339
Example Inventory 1	Updating and Revising an Inventory.....	343
Example Inventory 2	Source Group 1 Waste Incineration	348
Example Inventory 3	Source Group 2 Ferrous and Non-Ferrous Metal Production	355
Example Inventory 4	Source Group 3 Power Generation and Heating	365
Example Inventory 5	Source Group 4 Mineral Products	368
Example Inventory 6	Source Group 5 Transport	371
Example inventory 7	Source Group 6 Open Burning Processes	376
Example Inventory 8	Source Group 7 Production and Use of Chemicals and Consumer Goods	381
Example Inventory 9	Source group 8 Miscellaneous.....	387
Example Inventory 10	Source Group 9 Disposal and Landfill	391
Example inventory 11	Source Group 10 Contaminated Sites and Hotspots	398
References		412

Abbreviations and Acronyms

2,4,5-T	2,4,5-Trichlorophenoxyacetic acid
°C	Degrees Celsius
a	Year (annum), 365 days
ADt	Air-dried ton (of pulp)
APC(S)	Air pollution control (system)
BAT	Best available techniques
BEP	Best environmental practices
BF	Blast furnace
BOF	Basic oxygen furnace
BOS	Basic oxygen steel
C	Chlorination bleaching stage using molecular chlorine dispersed dissolved in water (pulp and paper production)
CCMS	Committee on Challenges of Modern Society
CHP	Combined heat and power
CF	Cupola furnace
CLRTAP	Convention on Long-range Transboundary Air Pollution
CNP	2,4,6-Trichlorophenyl-4'-nitrophenyl ether
COCHILCO	Comisión Chilena del Cobre (Chilean Copper Commission)
CORINAIR	Core inventory of air emissions
CTMP	Chemo-thermo-mechanical pulp
CUF	Capacity Utilization Factor
D	Chlorine dioxide bleaching stage using a water solution of chlorine dioxide (ClO_2) (Section on pulp and paper production)
DCB	Dichlorobenzene
dl-PCB	dioxin-like Polychlorinated Biphenyls
DL	Detection limit
d.m.	Dry matter
E	Extraction bleaching stage using sodium hydroxide (NaOH)
EAF	Electric arc furnace
ECF	Elemental chlorine free (bleaching)

ECVM	European Council of Vinyl Manufacturers
EDC	1,2-Dichloroethane
EMEP	Co-operative Programme for Monitoring and Evaluation of the Long-Range Transmission of Air Pollutants in Europe
EPA	Environmental Protection Agency
ESP	Electrostatic precipitator
EU	European Union
FAO	Food and Agriculture Organization
GDP	Gross Domestic Product
GEF	Global Environment Facility
h	Hour(s)
H ₂ SO ₄	Sulfuric acid
ha	Hectare(s)
HCB	Hexachlorobenzene
HW	Hazardous waste
I-TEF	International Toxicity Equivalency Factor
I-TEQ	International Toxic Equivalent
IF	Induction furnace
IPCS	International Programme on Chemicals Safety (of the World Health Organisation)
IPPC	Integrated Pollution Prevention and Control (of the European Union)
ISO	International Organization for Standardization
K	(Degree) Kelvin
kPa	Kilo Pascal (= one thousand Pascal)
L	Liter
LPG	Liquefied petroleum gas
LOI	Loss of ignition (a measure for residual carbon content)
LoC	Level of Confidence
LOQ	Limit of quantification
LPG	Liquefied petroleum gas
LS	Liquid steel

m	Meter
m^3	Cubic meter (typically under operating conditions without normalization to, e.g., temperature, pressure, humidity)
Mg	Magnesium but see also: megagram (under units)
MSW	Municipal solid waste
NA	Not applicable (not a relevant release vector)
NaOH	Sodium hydroxide
Na ₂ S	Sodium sulfide
NATO	North Atlantic Treaty Organization
NCASI	National Council (of the Paper Industry) for Air and Steam Improvement, Inc.
N-TEQ	Toxic equivalent using the Nordic scheme (commonly used in the Scandinavian countries)
ND	Not determined/no data (in other words: so far, no measurements available)
NFR	Nomenclature For Reporting
NIP	National Implementation Plan (under the Stockholm Convention on Persistent Organic Pollutants)
Nm ³	Normalized (standard) cubic meter; the volume a gas occupies at atmospheric pressure (1,013 mbar) and 273.15 K (0°C)
<i>o</i>	ortho
O	Oxygen bleaching stage (pulp and paper production)
OECD	Organisation for Economic Co-operation and Development
OSPAR	Commission for the Protection of the Marine Environment of the North-East Atlantic
<i>p</i>	para
PARCOM	Paris-Oslo Commission
PCB	Polychlorinated biphenyls
PCDD	Polychlorinated dibenzo- <i>p</i> -dioxins
PXDD	Polyhalogenated dibenzo- <i>p</i> -dioxins
PCDF	Polychlorinated dibenzofurans
PXDF	Polyhalogenated dibenzofurans
PeCBz	Pentachlorobenzene

PCP	Pentachlorophenol
PCP-Na	Sodium pentachlorophenol
POPs	Persistent organic pollutants
PRTR	Pollutant Release and Transfer Register
PTS	Persistent toxic substances
PVC	Polyvinyl chloride
RDF	Refuse derived fuel
rpm	Revolutions per minute
SCR	Selective catalytic reduction/reaction
SI	International system of units
SNAP	Selected Nomenclature for Air Pollution
t	Ton (metric)
TCB	Trichlorobenzene
TCF	Totally chlorine free (bleaching)
TEF	Toxicity Equivalency Factor
TEQ	Toxic Equivalent Note: For the purpose of the Toolkit, there is no difference if concentrations or emission factors are reported in I-TEQ or N-TEQ or WHO-TEQ (for PCDD/PCDF only)
TMP	Thermo-mechanical pulp
TRI	Toxics Release Inventory
UNCED	United Nations Conference on Environment and Development
UNDP	United Nations Development Programme
UNECE	United Nations Economic Commission for Europe
UNEP	United Nations Environment Programme
UNIDO	United Nations Industrial Development Organization
URL	Uniform Resource Locator (the global address of documents and other resources on the World Wide Web)
UV	Ultra-violet
VCM	Vinyl chloride monomer
VSK	Vertical shaft kilns

Toolkit for Identification and Quantification of Releases of Dioxins, Furans and Other Unintentional POPs
January 2013

WEC World Energy Council

WHO World Health Organization

Units

SI Units

g	gram	10^0 g
kg	kilogram	10^3 g
t	ton	10^6 g (1,000 kg) also Mg Megagram
kt	kilo-ton	1,000 t
mg	milligram	10^{-3} g
μ g	microgram	10^{-6} g
ng	nanogram	10^{-9} g
pg	picogram	10^{-12} g
fg	femtogram	10^{-15} g
kJ	Kilojoule	10^3 Joule
MJ	Megajoule	10^6 Joule
GJ	Gigajoule	10^9 Joule
TJ	Terajoule	10^{12} Joule
MW	Megawatt	
MWh	Megawatt hour	
Pa	Pascal	
kPa	kilopascal	10^3 Pascal

Non-SI Units

Gallon	= 0.1337 ft ³	= 0.0038 m ³
pound	= 0.4536 kg	
inch	= 2.54 cm	= 0.0254 m

Definitions

Unintentional POPs Throughout the document, the term “unintentional POPs” will be used to address the persistent organic pollutants that are listed in Annex C Part I of the Stockholm Convention.